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1 **Barriers to implementing asthma self-management in Malaysian primary care:**
2 **qualitative study exploring the perspectives of healthcare professionals**

3

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33
34

35 **Abstract**

36

37 Asthma self-management is a crucial component of asthma management. We sought to
38 explore healthcare professionals' (HCPs) perceptions on barriers to asthma self-management
39 implementation in primary care. We recruited 26 HCPs from six public primary care clinics
40 in a semi-urban district of Malaysia in 2019. The analysis was done inductively. HCPs
41 described barriers that resonated with the 'COM-B' Behaviour change framework.
42 Capability-related issues stemmed from a need for specific self-management skills training.
43 Opportunity-related barriers included the need to balance competing tasks, and limited
44 poorly-tailored resources. Motivation-related barriers included lack of awareness about self-
45 management benefits, which was not prioritised in consultations with perceived lack of
46 receptiveness from patients. These were compounded by contextual barriers of the healthcare
47 organisation and multilingual society. The approach to implementation of asthma self-
48 management needs to be comprehensive, addressing system, professional and patient barriers,
49 and tailored to the local language, health literacy and societal context.

50

51 **Key words:** asthma self-management, challenges, healthcare professionals, qualitative, low-
52 and-middle-income country

53

54

55

56 **Introduction**

57 Supported self-management is a crucial component of long-term asthma management^{1,2} in
58 adults that improves clinical outcomes and reduces healthcare costs.³ Patient-centred,
59 collaborative care that permits effective patient-practitioner communication improves
60 adherence to treatment and outcomes.^{4,5} However, studies have shown that globally, support
61 for asthma self-management is not embedded in routine practice and only a minority of
62 people with asthma have an action plan.⁶⁻⁸

63
64 In Malaysia, the prevalence of adult asthma was estimated at 5% with asthma-related deaths
65 responsible for 1.2% of all deaths in the 2006 National Health and Morbidity survey.⁹ This
66 survey also reported that 20% of adult asthma patients visited the emergency department for
67 acute exacerbations, 10% were admitted, 27% reported school/work days' loss with a mean
68 duration of 6 (4-8) days in the past 12 months.⁹ Less than half of adult asthma patients had
69 regular long term follow-up.⁹⁻¹¹ In addition, studies have reported under-utilisation of
70 controller medications,^{10,11} while the use of oral short-acting beta-agonist was common
71 among adults with poor asthma control in Malaysia.¹⁰⁻¹²

72
73 A wide range of barriers to implementing supported self-management were described in a
74 recent systematic review – these include, poor patient-professional partnership, lack of
75 patient education and concerns regarding medication safety, insufficient professional training
76 and negative views regarding asthma self-management; compounded by competing priorities
77 and limited time in consultations.¹³ Underpinning many of these barriers are challenges to
78 effective communication.^{13,14} All but one of the 56 papers included in this review were from
79 high-income healthcare systems; reflecting a gap in the understanding of barriers faced in the
80 socio-cultural context of low- and middle-income countries (LMICs), such as Malaysia.

81 Malaysia's multicultural and multilingual society may also present different barriers to the
82 implementation and delivery of asthma self-management education in primary care settings.
83 As the country is composed of three major ethnicities, Malay (70%), Chinese (22%), and
84 Indian (7%), as well as several minority ethnicities (1%)¹⁵, a significant proportion of the
85 population reads, writes, and converses in their respective native tongues, and exhibit varying
86 levels of fluency in English and the country's national language, Malay.¹⁶⁻¹⁹ Additionally, the
87 populations' predominantly 'low' to borderline 'sufficient' health literacy²⁰ and low general
88 literacy skills among the elderly²¹ may have some influence in the barriers experienced. We
89 therefore aimed to explore healthcare professionals' views of the barriers faced in
90 implementing supported self-management for asthma in adults in a primary care setting in
91 Malaysia, taking into consideration the country's cultural and socioeconomical contexts. .

92
93

94 **Results**

95 Participants

96 We recruited 26 participants. Six focus group discussions (4–6 HCPs in each group) were
97 conducted between July and August 2019 at the Klang District Health Office (5 family
98 physicians, 5 medical officers, 4 each of assistant medical officers, pharmacists, assistant
99 pharmacists, and nurses). Table 1 outlines the demographic data of the participants. There
100 was only 1 mixed sex focus group among the pharmacists (1 male, 3 females). Other focus
101 groups were all-female (Family physicians, medical officers, nurses, assistant pharmacists),
102 or all-male (Assistant medical officers).

103

104 We identified practice-based and contextual barriers to implementing asthma self-
105 management in primary care practice. Practice-based barriers related to healthcare
106 professionals' capability, opportunity, and motivation, and how these factors influenced their

behaviour (COM-B framework). In addition, implementation barriers were influenced by external themes related to societal and healthcare organisational contexts. The interaction of these barriers is illustrated in Figure 1.

Practice-based barriers experienced by healthcare professionals (HCPs)

HCPs described a number of barriers to provision of supported self-management that resonated with the COM-B model of behaviour change.²² Capability barriers included the need for specific skills-based training. Opportunity-related barriers described difficulty in completing tasks due to complex workloads, limited availability and often poorly tailored resources (e.g. action plans), and poor documentation of previous consultations. Motivational barriers included a lack of awareness of the benefits of supported self-management, hesitancy to stray from their defined roles in the care of people with asthma, and perceptions that patients are not receptive to counselling.

Capability: Need for specific skills-based training

The participants acknowledged that without training they would not have the necessary skills to support asthma self-management. Comprehensive training was not provided for all HCPs involved in the management of asthma which led to knowledge gaps and training inconsistencies among HCPs. Conversely, some participants felt that while the routine training provided was adequate, the motivation to incorporate into practice was lacking (see below).

“We need more hands-on teaching [of asthma self-management counselling].

Sometimes even the medical officers don’t know how to use the MDI (Metered

Dose Inhaler). Some pharmacists don’t know [how to use MDIs] as well.” – A1,

Medical Officer, 30–35 years old, 6 years of experience.

133

134 *“Only a few of our nurses are trained in asthma [self-management counselling].*

135 *If they go on maternity leave, and they don’t teach their colleagues... I feel that*

136 *maybe a class or a course on asthma [self-management counselling] that is*

137 *detailed and more frequent is necessary to train more nurses.” – A5, Medical*

138 Officer, 35–40 years old, 13 years of experience.

139

140 *Opportunity: Competing tasks*

141 The HCPs acknowledged that their heavy workload and the multi-condition nature of primary

142 care limited their ability to educate patients on their asthma. The absence of protected time

143 for asthma patient education classes meant fewer opportunities for HCPs to provide self-

144 management counselling as HCPs had to balance their time seeing a very broad range of

145 other patients, as well as addressing other medical conditions in people with asthma.

146

147 *“We didn’t have any specific dates for asthma [patient education classes], so we*

148 *have to educate the asthma patients in addition to seeing other patients ... [So, the*

149 *time] to advise patients [is] limited.” – A6, Medical Officer, 30–35 years old, 8*

150 years of experience.

151

152 *Opportunity: Limited availability of resources (asthma action plans) in consultation rooms*

153

154 Another barrier identified by HCPs was that asthma action plans were not readily available in

155 the consultation rooms. This is related to contextual organisational barriers at the district

156 health office level due to budget constraints and lack of prioritisation which affected the

157 availability of asthma action plans.

158

159 *“Sometimes, when we want to print out the asthma action plan, we have run out of*
160 *paper, and we do not have enough money to buy more paper.” – A1, Medical*
161 *Officer, 30–35 years old, 6 years of experience.*

162
163 *“They will photocopy only a few asthma action plans, only 20 every time. If it*
164 *runs out, that is it.” – A3, Medical Officer, 25–30 years old, 4 years of*
165 *experience.*

166
167 *Opportunity: Poorly tailored resources (asthma action plans) available for the patients*

168
169 The HCPs felt that currently available written asthma action plans were unsuitable for their
170 Malaysian patients as they were too wordy for them to understand, especially considering the
171 high rates of limited health literacy in the population.²⁰ The HCPs also attributed this dislike
172 towards reading to be a prominent Asian cultural trait. Some suggested that a more visual
173 format of asthma action plans (e.g. pictures or animation) may help patients to understand
174 better.

175
176 *“The [patient’s] understanding of the asthma action plan is the problem because*
177 *[it has] a lot of wording here and there.” – F1, Family Physician, 50–55 years*
178 *old, 26 years of experience.*

179
180 *“Some of them [prefer] visual [formats] to aid them. [If it’s] too wordy [it] is also*
181 *quite difficult because [in] our culture, they don’t want to... don’t like to read,*
182 *right?” – F7, Family Physician, 45–50 years old, 21 years of experience.*

184 *Opportunity: Poor documentation*

185

186 In addition, some HCPs stated that due to poor medical record documentation it was unclear
187 whether counselling of asthma action plan had previously been provided.

188

189 “...also, the documentation is very poor, so we don’t know whether [asthma
190 action plan counselling] is done or not by our MOs, that’s the thing.” – F4,
191 Family Physician, 40–45 years old, 16 years of experience.

192

193 *Motivation: Lack of awareness and poor attitude*

194

195 Some HCPs felt that their colleagues were not motivated to empower patients for self-
196 management even if they had been trained in delivery of action plans which led to poor
197 attitude towards implementing it.

198

199 “We send our medical officers for district training (of asthma self-management
200 counselling). For every session of the CME [Continuing Medical Education], we
201 will ask those who attend the course to go back to their clinic and brief other
202 medical officers who did not have the opportunity to attend. That’s why I believe
203 their training is not too lacking. The issue is just whether they have the motivation
204 to practice it.” – F1, Family Physician, 50–55 years old, 26 years of experience.

205

206 This is because counselling of asthma self-management may need extra initiative and time
207 spent by the HCPs, an interrelating systemic issue. Reasons for low motivation overlapped
208 with other barriers, such as the limited provision of training. For example, a pharmacist of 25

years standing had ‘not heard of’ asthma self-management’. Limited training on asthma self-management not only affected knowledge of current guidelines but also reduced awareness of the potential benefits for people with asthma having an action plan, and thus affected the HCPs motivation to improve their practice in empowering patients for asthma self-management.

Motivation: Unclear healthcare professional roles.

Several HCP’s described their lack of motivation as a result of the feeling that supporting self-management was not a responsibility under the clearly defined roles of their job position. Instead, they would refer them to a healthcare professional whose role included self-management counselling. This may represent a lack of awareness and motivation at management level as most job positions within the clinic’s dedicated asthma team did not include contributing to supporting the self-management.

“New [patients] who need counselling will be directed to the pharmacists. I am a... I am an assistant pharmacist. I don’t counsel them.” – E2, Assistant Pharmacist, 50–55 years old, 25 years of experience.

Motivation: Perceived patients’ lack of interest in managing their asthma

Another important challenge that reduced HCPs’ motivation was that they felt asthma patients did not prioritise their self-management and were reluctant to document their symptoms in asthma diaries. The HCPs thought that this problem was more common among patients who were of lower education level, citing examples of patients who were illiterate and were not able to write their symptoms in an asthma diary. It was felt that it would be

234 difficult to empower them to self-manage their asthma without clear record-keeping of their
235 asthma symptom and control monitoring.

236

237 *“For adults, I feel that they do not care. They do not use their action plans. If*
238 *you ask them whether they have received a copy of it in their last consultation,*
239 *[they will reply] ‘I do not remember, Doctor. Maybe it’s at home.’” – A1,*
240 *Medical Officer, 30–35 years old, 6 years of experience.*

241

242 ***Contextual barriers experienced by healthcare professionals (HCPs)***

243

244 HCPs listed a number of wider contextual barriers that limited provision of supported self-
245 management. At a societal level, the high prevalence of limited health literacy combined with
246 a multilingual society resulted in major barriers in understanding the Malay/English action
247 plans. Healthcare organisations struggled with limited manpower and a lack of priority for
248 asthma self-management.

249

250 *Societal context: Limited health literacy, and language barriers*

251

252 There was a widespread belief amongst HCPs that patients with low educational status and
253 limited health literacy could not understand explanations of an asthma action plan and self-
254 management. This was thought to be a particular problem among older patients. Language
255 was an additional barrier to communication for patients who only understood Mandarin or
256 Tamil as most HCPs could only speak English or Malay. One experienced family physician
257 observed that such patients *‘might not be able to understand whatever is written in the*
258 *asthma action plan’*. Hence, extra effort in repeated counselling and explanation was needed
259 to enhance the patient’s understanding of an asthma action plan.

260

261 *“[When explaining self-management to patients], not everyone will [follow] our*
262 *advice... The more highly educated patients will follow our advice [to regularly*
263 *take controller medication]. The less educated ones [will only take it] when they*
264 *feel like it.”* – D2, Assistant Medical Officer, 25–30 years old, 6 years of
265 experience.

266

267 *“In order to deliver your knowledge to your patient, for them to actually be able*
268 *to understand what you are trying to say, it takes effort and many repetitions*
269 *(repeated sessions).”* – C2, Pharmacist, 30–35 years old, 9 years of experience.

270

271 *Organisational priorities: Lack of awareness of asthma, and resource constraints*

272

273 The lack of prioritisation at healthcare service level was highlighted in two ways. First, time,
274 budget, and manpower were limited, with many different health programmes to run, and high
275 patient load constraining opportunities for chronic disease management (as opposed to acute
276 management) and even with good team work there was insufficient manpower to support
277 self-management. It was suggested that management level prioritisation was needed to
278 allocate the resources needed to allow them to spend more time with patients who needed
279 counselling to encourage self-management.

280

281 *“...time is a big constraint, so if they [ministry management] can intervene and*
282 *have more staff then they [can] really help us greatly in order for us to spend*
283 *more time with patient who needs it [counselling of asthma self-management].”* –
284 C2, Pharmacist, 30–35 years old, 9 years of experience.

285
286 *“We all don’t have time to clerk each patient one-by-one. Just to fill the first page*
287 *[of the clerking sheet] is already difficult. So, we [hoped] the nurses would help*
288 *us, but the nurses say that they also have a lot of work to do.” – A5, Medical*
289 *Officer, 35–40 years old, 13 years of experience.*

290
291 Second, a recurring theme that appeared in HCP discussions was a lack of prioritisation of
292 asthma (self)-management in favour of other conditions and topics. For example, some
293 campaigns (such as ‘Know Your Drugs’) had been on-going for a decade without updating
294 their education materials, but it was also noted that the campaign did not include ‘*how to use*’
295 asthma inhalers in their materials. The HCPs attributed the lack of priority to limited
296 awareness on asthma and suggested rotating the focus of the campaign regularly to raise
297 awareness and prioritise asthma from time to time.

298
299 *“There’s a Diabetes [Awareness] Month, but an Asthma [Awareness Month], I feel that*
300 *I’ve never seen before.” – F5, 41-year-old Family Physician, 17 years of experience.*

303 **Discussions**

304 *Summary of key findings*

305 This study identifies some significant challenges in the implementation of supported asthma
306 self-management in primary care practice in Malaysia. Barriers that hindered implementation
307 in routine practice were multifactorial, encompassing factors related to capability and
308 motivation of the professionals, as well as practical barriers of resource and time that reduced
309 the opportunity in day-to-day practice. A number of contextual factors external to the clinic

in which the HCPs practiced were highlighted: the priorities and resources of the healthcare organisation and the multi-ethnic, multilingual societal context. Many of these challenges could be improved or at least modified with a comprehensive approach to an intervention, which will be further elaborated in the subsequent sections.

Interpretation with reference to other published studies

As highlighted by a systematic review¹³, our findings of professionals' barriers related to the COM-B framework overlapped with similar themes identified in other studies. Lack of training and poor patient-HCP partnerships limited capability; opportunity was reduced because of pressure of time, and lack of awareness regarding guideline recommendations and action plans, and perceived poor patient receptiveness all reduced motivation have all been described in other (typically high-income country) settings.^{13,23–26} Our study noted that opportunity was further reduced by limited supplies of poorly-tailored self-management resources, and poor documentation of whether (or not) self-management had previously been discussed. Lack of awareness about self-management benefits and a hesitancy to work beyond their defined role were additional barriers to motivation.

Healthcare and societal contextual factors are identified in other studies,^{13,23–25,27,28} but the Malaysian context of a multi-ethnic multilingual LMIC compounded some of these barriers. Lack of awareness about asthma self-management at the level of healthcare management and lack of prioritisation of asthma self-management meant that initiatives did not address the heavy workloads and manpower constraints, for example, by enhancing teamwork.

Implications for delivery of healthcare services

An issue identified in this study was the differing views and expectations on the adequacy of training for HCPs in supporting asthma self-management, a situation that is not limited to a developing country like Malaysia.^{6,13,23} Furthermore, we noted a marked separation of roles in the current healthcare provider system, where only doctors and pharmacists were given the responsibility of self-management counselling, which promoted silo-working, poor teamwork, and hesitancy of healthcare providers to support self-management as it was outside their job description. Study participants were recruited from attendees of an asthma training workshop, who had been selected to attend because of their direct involvement in the care of patients with asthma. Any negativity elicited within this study may be more pronounced in HCPs less involved (and thus less confident) in asthma care. All these are modifiable barriers. Team-based, comprehensive training of HCPs including nurses, assistant medical officers, assistant pharmacists in performing – or supporting – asthma self-management counselling may not only facilitate asthma self-management,^{13,29–32} but also help overcome the resource limitations.

Only some HCPs were able to attend the structured training relating to asthma self-management in the hope that they would share their learning with their peers who had stayed back to carry out clinic duties. Dependence on opportunistic peer training may have led to inconsistent skills and knowledge gaps among some groups of HCPs. Among our study population none of the four assistant pharmacists (10-25 years of experience) had heard asthma self-management, highlighting how they were not involved in the asthma team and had received minimal training regarding asthma. Their main roles were to dispense medication and check inhaler technique. Training and extending assistant pharmacist and nurse roles within the team may alleviate HCP capability- and opportunity-related barriers. Better training can improve motivation.^{33–35} Blended learning using web-based mobile

applications can be considered as an option for training delivery as it provides HCPs with increased accessibility to and interactivity with training material and allows for scheduling flexibility for HCPs with heavy workloads.³⁶ In addition, the use of motivational interviewing, training of lay educators and group consultations may help to overcome some of the barriers in the delivery of asthma self-management support and care.³⁷⁻³⁹

Implications for overcoming societal barriers

Low levels of literacy and language barriers were perceived as major barriers preventing patients from understanding asthma self-management. Similarly, studies from other countries have reported poor understanding of asthma in South Asian patients and those with low literacy,⁴⁰⁻⁴³ and language barriers prevented HCPs in educating ethnic minority patients on asthma management.^{23,44} In addition, the asthma action plan was perceived to be too wordy and information was not available in all the languages widely used in Malaysia. This, in addition to lack of interpretation services, compromised the effective delivery of supported self-management in a multiethnic Asian country. However, the perception that literacy is a barrier to self-management may represent a subconscious justification on the part of the HCPs for not offering self-management advice. The use of pictures or videos and the provision of multilingual resources are potential ways to overcome both language and literacy barriers as perceived by HCPs. As reported in other studies, pictorial format tools which offered information in literacy sensitive manner have been shown to enhance consultations and facilitate understanding of management plan⁴⁵⁻⁴⁶ including in Malaysia⁴⁷, and can improve asthma outcomes.⁴⁸ In addition, all material should be checked for reading age and readability. Within this programme of work, we are exploring the perspective of patients, and co-developing asthma self-management support materials (including paper and mobile format of pictorial asthma action plan) with users.

384

385 Our participants highlighted that engaging patients in maintaining asthma diaries (as
386 recommended by Malaysian asthma guidelines)⁴⁹ is challenging. This is not unexpected;
387 patients tend to be motivated to manage their asthma when symptoms cause discomfort,
388 affect their daily activities, or if they believe asthma may cause serious consequences.^{40,50-52}
389 Some HCPs in this study suggested internet or mobile monitoring interventions might
390 support monitoring for those interested and able to use such platforms. An example of such
391 intervention is as a mobile application with graphic icons representing asthma symptoms as
392 visual aid to log daily symptoms.^{30,44,53-59} Globally, however this may not as effective as
393 hoped, as despite prioritising symptom and peak flow diaries as core components of asthma
394 apps, in reality few patients engage regularly with monitoring tasks.⁶⁰ Future studies will
395 need to explore the feasibility of using digital support for self-management for asthma in
396 Malaysia. In addition, interventions on a larger contextual scale to promote awareness and
397 understanding of guideline-recommended care include policy changes that support social
398 movements, such as through online health communities that involve patient and public
399 participation may be the way forward.⁶¹⁻⁶² Furthermore, the literacy and language barriers,
400 and the lack of training enabling HCPs to overcome these barriers may mean that some
401 patients may not understand the implications of a diagnosis of asthma. Hence, comprehensive
402 education, culturally appropriate and tailored to the education and literacy level while taking
403 universal health literacy precautions,⁶³ about asthma and promotion of self-management is
404 necessary.

405

406 The factors that contributed to the challenges of implementing asthma self-management in a
407 Malaysian primary care setting were multifactorial, but most are potentially modifiable.
408 Interventions will need to adopt a comprehensive approach tailored to the local healthcare

system and address the societal context of multiple languages and limited health literacy. Prioritisation of asthma, and supported self-management is needed at policy, management, practice, community, and individual levels to enhance access to training, address flexibility of roles, increase awareness, and explore innovative digital approaches to improve supported self-management for asthma.

Strengths and limitations

This study included the views of all groups of healthcare professionals in the management of asthma in Malaysian primary care, and our recruitment strategy during an asthma training event achieved a good response. The qualitative study design enabled an in-depth exploration of the barriers that hindered the delivery of supported self-management for asthma among the healthcare professionals. We did not approach professionals who are not involved in asthma care; this could have limited the perspectives heard though we considered that they would have been unlikely to be aware of the issues we wished to explore. Focus groups were organised flexibly, and most of the professionals we invited participated. However, some participants may have hesitated in expressing individual reservations or concerns in the context of a group. Offering the option of one-to-one interviews might have enabled the recruitment of a few more participants and supported more open discussion. To mitigate this, we grouped HCPs of the same role together and experienced qualitative researchers facilitated the discussions to ensure everyone had a chance to contribute. We may thus have missed some factors, especially from the perspective of patients who were not included as participants of this study.

The researchers were all primary care physicians with an academic interest in supported self-management which will have influenced the data collection and analysis. We remained aware of this and discussed emerging findings with a wider group.

Methods

Design

This was a qualitative study using focus group discussions (FGDs) to explore HCPs' perspectives on the barriers and challenges of supporting patients to self-manage their asthma in their day-to-day practice.

Healthcare context

Malaysia operates a dichotomous primary care system (public and private). Public primary care clinics are funded through general tax revenues and each consultation costs RM1 (USD 0.20) inclusive of investigations and medication. The private sector is funded by out-of-pocket payments. The public sector provides 60% of outpatient care.⁶⁴ In these clinics, a number of healthcare professionals (HCPs) contribute to the provision of asthma management services. Their roles are summarised in Table 2.

Study setting

The study was conducted in six urban and semi-urban (three urban and three semi-urban) public primary health care clinics in the district of Klang, Selangor, Malaysia that cater to the lower- and middle-income populations of the country. At 22%, Selangor has the highest prevalence of adults with asthma in Malaysia.⁹ All the six selected clinics are headed by trained family physicians. Each clinic had 11–26 medical officers, 13–55 nurses, 4–8 assistant medical officers, 6–10 pharmacists and 3–6 assistant pharmacists. This wide

variation was related to the size of the clinics and number of patients attending per day in the clinics; each doctor could expect to see 50–70 patients daily. As part of routine practice, all patients with asthma on follow up were given asthma dairies to record their symptoms and encouraged to bring the diaries along during their follow up.

Participants, recruitment, and sampling

Participants were approached during a workshop on asthma management attended by all the HCPs (30) who were involved in the care of adult patients with asthma in the six primary care clinics in the Klang district. Those who agreed to be contacted about the study provided telephone numbers which were used (via telephone calls or text messages) to confirm their interest to participate and to arrange the focus groups. Composition of the focus groups was according to the participants' profession to facilitate interaction and avoid hierarchical barriers.

Data collection

We developed a semi-structured interview guide based on our reading of literature (mostly from high-income countries),^{13,14} our knowledge of the Malaysian socio-cultural context,^{16–21} and our experiences in the public health system. The topics covered asthma management and the healthcare professional's perspectives on the barriers of providing supported counselling on self-management for people with asthma.

We used open-ended questions in the FGDs, with prompts used when important issues did not emerge spontaneously during the interview [Appendix 1]. Sessions, which lasted between 60 to 90 minutes, were conducted by PYL, ATC or SSG, with field notes on non-verbal cues and interview dynamics taken by an assistant. All interviews were audio-recorded, transcribed verbatim, and checked. Interviews and analyses were performed iteratively until

no new themes emerged. Recruitment was stopped after six focus group discussions when researchers agreed that the analysis had reached thematic saturation.

Data analysis and validation

We used NVivo 12 software to manage the data. Thematic analysis was used.⁶⁵ Data from FGDs and field notes were coded for themes and analysed inductively to identify recurring themes. Comparison of themes both across and within sub-groups allowed the understanding of the issues specific to each group. Two researchers (PYL and ATC) coded one transcript independently and created a list of free nodes (themes). Subsequently, the themes were merged to form categories. The coding was then compared for inter-rater consistency and discrepancies. Any disagreements were resolved through consensus. The final framework was then used to code subsequent transcripts. Any new themes that emerged were added to the list with consensus of the research team. The quotes that best represent the essence of the themes were extracted for inclusion in the results in this article.

Interpretation and reflexivity

The three researchers (PYL, ATC, SSG) involved in data collection and the analysis were female academic primary care physicians. They had frequent open discussions enabling them to reflect on themes and remained mindful of their professional views and biases about asthma self-management support throughout the analysis.

Ethics approval

This study received ethical approval from the Medical Research and Ethics Committee of the Ministry of Health, Malaysia (NMRR ID: NMRR-18-2683-43494) and sponsorship approval

508 from the Academic and Clinical Central Office for Research & Development (ACCORD) at
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513 **Data availability**

514 The data are not publicly available due to them containing information that could
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516

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527

528 **Competing interests**

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530 The authors declare no competing interests.

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534 **Authorship contributions:**

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536 PYL, ATC, SSG and JW were involved in data collection, analysis and writing of the original
537 draft of the manuscript. All authors contributed to the funding acquisition, conceptualization,
538 writing, editing and review of the manuscript. All authors approved the final version.

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Table 1: Demographic data of participants (N=26)

		Number
Age (years)	< 30	6
	30 – 39	12
	40 – 49	5
	50+	3
Sex	Female	21
	Male	5
Ethnicity	Malay	18
	Chinese	2
	Indian	6
Time in current clinical role (years)	< 5	6
	5 – 9	8
	10 – 14	3
	15 – 19	5
	20 – 24	1
	25+	3
Position	Medical officer	5
	Nurse	4
	Pharmacist	4
	Assistant medical officer	4
	Assistant pharmacist	4
	Family physician	5
Used asthma action plans with patients?	No	10
	Yes	16

Figure 1: Practice-based and contextual barriers to implementing asthma self-management education in primary care.

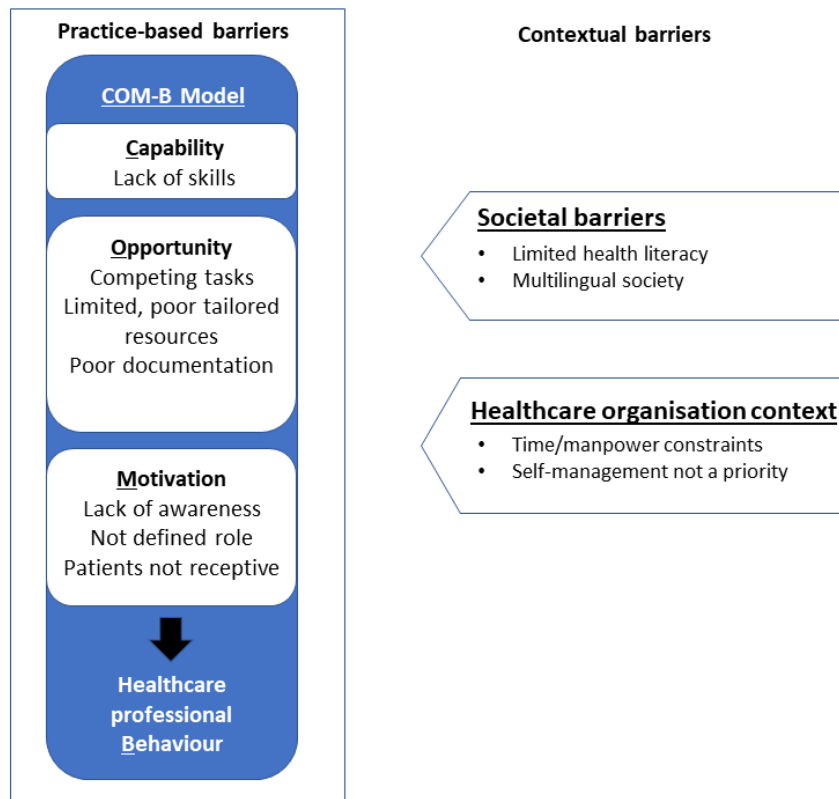


Table 2: Healthcare professional roles in the provision of asthma management services within public healthcare clinics

Professional	Roles
Doctors (Family physicians and medical officers)	<ul style="list-style-type: none"> • Assess status of asthma control • Manage long-term care of asthma symptoms • Prescribe medication • Counsel on self-management
Pharmacists	<ul style="list-style-type: none"> • Assess medication adherence • Counsel patients on inhaler technique • Counsel on asthma action plan
Assistant pharmacists	<ul style="list-style-type: none"> • Dispense medication
Assistant medical officers	<ul style="list-style-type: none"> • Manage acute exacerbations of asthma • Refer to medical officers or family physicians for long-term care
Nurses	<ul style="list-style-type: none"> • Assist in assessment for acute exacerbation of asthma and follow-up care • Refer to medical officers or family physicians